

Cabinet

22 June 2021

Dorset Care, Support, Housing and Community Safety Framework

For Decision

Portfolio Holder: Cllr L Miller, Adult Social Care and Health

Local Councillor(s): **All**

Executive Director: V Broadhurst, Interim Executive Director of People - Adults

Report Author: Lesley Hutchinson & Mary Coward

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Report Status: Public

Recommendation:

The Cabinet is asked to consider the contents of this report in respect of the proposed new Dorset Care Framework for Care, Support, Housing and Community Safety tool and that Cabinet agree:

1. To begin the procurement process to establish the Framework as described in the report.
2. That the next step of agreeing Service Lots within the Framework and any subsequent contract award following further competition procurement exercises / 'call-down' via the Framework shall be delegated to the Executive Director of People – Adults and Housing after consultation with the relevant Cllr Laura Miller as Portfolio Holder for Adult Social Care and Health.

Reason for Recommendation:

- A new Framework is required as the current Dorset Care Framework for Older People expires on 30 November 2022 and the Dorset Care Framework for Learning Disabilities needs a refresh to include Mental Health provision. By putting in place one Framework for all service user groups there is greater clarity for the market. The aim is to have the Framework in place by the autumn of 2021.
- The Framework will be established by utilising the flexibilities of what is the Light Touch Regime under the Public Contract Regulations 2015 which

allows Public Sector Bodies, in terms of health and social care, to adapt / innovate procurement and contracting models to best meet the service requirements.

- The Framework will be in place for up to 10 years and will enable providers to join at any time (as long as specific criteria is met) instead of fixed opening periods (as is the case with the existing Frameworks).
- The proposed new Framework tool is key to delivery of flexible contracting with all sectors of the provider market, including micro enterprises.
- Feedback from existing providers is that our current Framework is difficult to enter primarily because it only facilitates new agencies joining at set times. The proposed new Framework will address this issue as it will be open.
- The proposed new Framework is a timely as it will offer several opportunities to innovate the care and support market to better meet key legislative, Corporate and Directorate priorities such as:
 - To support our Corporate Plan priorities of staying safe and well and supporting strong, healthy communities
 - To provide a tool to Adult Social Care, Housing and Children Services that enables them to engage with social care markets
- The proposed Framework will provide an enabling tool for the achievement of the “A Better Life” Transformation Plan and its associated savings.
- It will support our statutory responsibilities under the Care Act 2014 which includes but is not limited to:
 - The Duty to promote diversity and quality in service provision
 - Market shaping and commissioning of adult care and support services
 - Procurement and contracting including recommissioning and decommissioning (see Appendix 1 for the list of different types of service provision that the Local Authority must ensure choice in).

1. Executive Summary

- 1.1 The Councils seeks to provide high quality, personalised care and support services (taking a strengths based approach) which focus on meeting the outcomes of those individuals using the services, whilst ensuring they are delivered and financed in a sustainable way.
- 1.2 In order to achieve this Dorset Council’s Adults and Housing Directorate is seeking approval for a new Framework; this will act as a vehicle to procure a range of care and support, housing and community safety services. It will replace the existing Frameworks.
- 1.3 The Framework:

- is first and foremost an enabler for greater oversight of service availability for Dorset, it will help the Council manage spend and improve forecasting, giving the Council more confidence in managing the budget and the market
- offers the opportunity for care and support, housing and community safety providers to register their interest in a low threshold approach at any time which will encourage a larger number of local voluntary sector partners, as well as micro providers to enter the market place (thereby enabling access) and bid for services (this will enable the Council to meet its target to increase Direct Payment and Individual Service Fund arrangements)
- will have service Lots (see Appendix 2) which will set out key performance indicators and monitoring requirements including a set of quality standards the providers must adhere to (in addition to CQC standards if relevant) which outlines the expectation of the service to respond in a person-centred way. The quality standards will be proportionate to the increased range of services offered under the proposed new Framework (set out in Appendix 3).
- provides a 'call down' process, or further competition, by which a service becomes commissioned for a specific need. The call down process ensures that any service commissioned from the Framework can respond appropriately to the needs of the people it will be supporting. Specifications will be outcomes focused.
- provides greater choice and control for people who use services by increasing the provider base
- will help develop collaborative relationships with agencies to deliver new and innovative services that better meet the needs of people in Dorset. Helping the Council to be the partner of choice for providers
- introduces the required governance and regulation proportionate to the service provided

1.4 The new Framework will enable the Council to deliver the transformation objectives and the Commissioning Intentions of Adult Social Care & Housing (see Appendix 4).

1.5 By putting in place the Framework the Council will be providing the opportunity to support local businesses and local jobs, to improve the economic, social, and environmental well-being of Dorset, in line with the Public Services (Social Value) Act 2012. In addition, it will support and stimulate workforce development, wherever possible, for example signposting to available training from system partners.

2. Financial Implications

2.1 The majority of the spend attached to the Framework will be from the operational adult social care budget for spend on placements and packages of care (see Appendix 5). There will be some funding from other Council

budgets where there is joint working such as the Children's Directorate. No additional Council finances are required.

- 2.2 There is the opportunity to jointly fund services with the Clinical Commissioning Group and imminent Integrated Care System (ICS) to support joint initiatives. Where services are jointly funded there will be clear legal agreements in place ensuring the Council is not at financial risk.
- 2.3 Cost of care exercises will be carried out in some of the sectors commencing with Care Homes. The Council need to undertake engagement work particularly with the Care Home sector to encourage them onto the Framework and this exercise will support this. Clear engagement plans need to be implemented before the commencement of the Framework.

3. Well-being and Health Implications

- 3.1 The ambition is to enable a wider group of providers both in terms of size and location onto the Framework, by doing so the Council will be able to ensure provision is available more equitably across all of Dorset. The aim is to encourage micro providers who will help the Council achieve a more localise response through an increased take up of Direct Payment and Individual Service Funds recipients by doing so the Council will improve well-being of residents and carers.

4. Climate Implications

- 4.1 The Framework will open up the opportunity for localised micro providers, this will potentially reduce care provider travel across the county reducing carbon emissions.

5. Other Implications

- 5.1 Voluntary and community organisations should benefit from the new Framework with a proportionate approach to join. Once on the Framework, the Council will have greater visibility on the opportunities the sector offers.
- 5.2 Safeguarding children and adults: specifications for the Lots will provide explicit expectations of provider agencies in order to keep residents safe from harm.

6. Risk Assessment

- 6.1 Having considered the risks associated with this proposal, the level of risk has been identified as:
 - Current Risk: Low
 - Residual Risk: LowThe Framework is an enabling tool and seeks to encourage providers.

- 6.2 Failure to prepare for the end of the current Dorset Care Framework for Older People, which expires on 30 November 2022, would cause potential disruption for residents, resulting in reputational harm for the Council, if the successor Framework is not in place before this date. The Learning Disability Dorset Framework can be ended early once the new Framework is operational.
- 6.3 The continued impact of Covid-19 on the market is a potential risk for providers entering the Framework, the Council will need to support providers during this time and ensure Covid-19 doesn't create a barrier.
- 6.4 We will develop clear provider engagement plans in advance of the Framework being implemented which will support and actively help manage the market to meet Dorset's needs.

7. Equalities Impact Assessment

- 7.1 The EQIA has been drafted and has been presented to the Equalities group for approval

8. Appendices

Appendix 1: Care Act 2014 Service Provision

Appendix 2: Proposed List of Service Lots

Appendix 3: New Services onto the Dorset Framework

Appendix 4: Commissioning Intentions February 2021

Appendix 5: Dorset Council Indicative Adult Care Gross Expenditure in 21/22

Appendix 6: Dorset Framework for Care, Support, Housing and Community Safety Provider Information Document – May 2021

9. Background for Information

- 9.1 With a growing number of older people in Dorset there will be a likely increase in demand for health and social care services. Key demographic information includes 10,000 people aged 65+ may be living with dementia by 2029, and 29% of the population of Dorset Council is 65+ compared to 18% for England. The population of those aged between 65 and 79 has grown by 31% between 2009 and 2019 and is projected to grow a further 14% to 2029. Those aged 80+ have grown by 18% between 2009 and 2019 and this is projected to grow by 43% to 2029. It is therefore important to use local evidence to anticipate need and target service provision as effectively as possible in the right areas; the Framework will help support this.
- 9.2 The Dorset provider market has been consulted and have given feedback that the approach for the new Framework should be one that is simplistic for providers to enter, be flexible and to be fully open. The current framework

was established by utilising what is the Light Touch Regime under the Public Contract Regulations 2015 which allows Public Sector Bodies to adapt the regulations to create suitable contracting model; created an open framework which allows new entrants in comparison to the traditional closed framework which only allows entrants at point of establishing the framework. However, the current framework restricts new entrants to only enter once a year, a potential barrier. The Council has listened to provider feedback and intends to establish the new framework to be more simplistic in its approach, more flexible and to be fully open throughout its lifetime to allow providers to enter the framework whenever they wish to.

9.3 The Framework will support the following key priorities as below:

- People remain happy independent and stay in their own homes for as long as possible living their best lives
- People are part of inclusive communities and don't feel lonely or isolated which is a key drive for people wanting to move out of their own homes
- The Council's Corporate Procurement Strategy objective of Maximising the Dorset Pound with service lots specifically aimed at Microenterprises and VCSE
- Broaden the scope of services available locally so more people receive care at home and in the community and promote self-care and/or self-managing long-term conditions, to avoid hospital or residential care admission
- People are discharged from hospital as soon as possible
- More care is delivered closer to home
- Strengths and assets are utilised first, including circles of support, assistive technology, and community-based assets

8.4 Appendix 6 sets out the Information Pack for Providers to enable the Cabinet to see what documentation will be shared.

Footnote: Issues relating to financial, legal, environmental, economic and equalities implications have been considered and any information relevant to the decision is included within the report.

Appendix One - Care Act 2014 Service Provision

[Care and support statutory guidance - GOV.UK \(www.gov.uk\)](http://www.gov.uk)

As set out in the Care Act 2014 statutory guidance however note this is not an exhaustive list:

4.44 When considering the sufficiency and diversity of service provision, local authorities should consider all types of service that are required to provide care and support for the local authority's whole population, including, for example:

- support services and universal and community services that promote prevention
- domiciliary (home) care
- homes and other types of accommodation care
- nursing care
- live-in care services
- specialist care
- support for carers
- reablement services
- sheltered accommodation and supported living
- shared lives services
- other housing options
- community support
- counselling
- social work
- information, brokerage, advocacy and advice services
- direct payment support organisations

Appendix Two – Proposed List of Service Lots

| Lot No | Lot Name | Indicative scope but not definitive and may be subject to change |
|--------|--|---|
| 1 | In my own home or settled accommodation (Working Age Adults) Launch 2021 | Includes people with a learning disability, mental health support need or a dual diagnosis. Covering all levels of care and support needs and aspects of support, breaks and respite. |
| 2 | In a care home setting (Working Age Adults) Launch 2021 | Includes people with a learning disability, mental health support need or a dual diagnosis. Care home settings with or without nursing care. |
| 3 | Innovative Community support (for all age adults) Launch 2021 | Includes day opportunities, and supported employment. Support for including carers and people that they care for. Can include providers who do not need to be CQC registered. |
| 4 | VCSE and Micro enterprises (for all ages, children 0-18 & adults) Launch 2021 | Voluntary, Community and social enterprise sector support opportunities for the non-regulated providers. |
| 5 | Promoting prevention (to be confirmed on timing) | Promoting positive outcomes for residents, using creative solutions. Such as services that improve individual and community resilience |
| 6 | Managing my Individual Support Fund or Direct Payment support. Launch 2021 | ISF Providers and support for Direct Payment recipients and Personal Assistant's – e.g. training, or payroll support |
| 7 | Housing related support (adults over 18) Launch 2021 / early 2022 | Includes support for adults who are homeless or at risk of homelessness and seeking accommodation, including rough sleepers Support in the community and in supported accommodation e.g. hostels. Generic housing advice and guidance including people experiencing multiple exclusions |
| 8 | Community safety Launch 2021 / early 2022 | Includes a range of services aimed at tackling community safety issues including those related to domestic abuse |
| 9 | Living well – in my own home (children aged 0 – 18) Launch 2021 / early 2022 | Includes children with a physical disability, a learning disability, mental health support need or a dual diagnosis. Covering all aspects of support, breaks and respite |
| 10 | In my own home (adults over 65) Launch 2022 | Includes all aspects of support delivered in a resident's home including regulated Home Care services. |
| 11 | In a care home setting (adults over 65) Launch 2022 (potential to bring this into 2021) | Includes care home settings with or without nursing care and specialist e.g. dementia care, mental health |
| 12 | Carers Launch 2021 early 2022 (date may change to dovetail with lot 3) | Includes carers services which are not included in lot 3 |
| 13 | Accommodation housing management | Includes landlord services required for housing schemes |

| | | |
|--|------------------------|--|
| | Launch to be confirmed | |
|--|------------------------|--|

Appendix Three - New Services Onto the Dorset Framework

Services which will be included in the proposed Framework which are not on the current ones:

- preventative support services for those who may be at on the cusp of care or need short term support after leaving hospital or other long-term institutions
- support services for unpaid carers
- support services for people experiencing housing problems, including homelessness and
- services for people escaping domestic violence and abuse

Appendix Four - Commissioning Intentions February 2021



Commissioning
Intentions - Feb 21 pd

Appendix Five - Dorset Council Indicative Adult Care Gross Expenditure in 21/22

Estimated to be £125,087,000 (April 2021 data from Client Record System)

Costs £,000

| | All Brokered Care | Excluding Block & Other Specialist Arrangements |
|---|-------------------|---|
| Older People | | |
| Number of People | 3021 | 2,644 |
| Gross Cost (Annual) | £85,043 | £67,262 |
| Learning Disability | | |
| Number of People | 883 | 819 |
| Gross Cost (Annual) | £43,695 | £39,575 |
| Mental Health | | |
| Number of People | 201 | 200 |
| Gross Cost (Annual) | £5,840 | £5,788 |
| Other | | |
| Number of People | 540 | 529 |
| Gross Cost (Annual) | £12,774 | £12,462 |
| Total | | |
| Number of People | 4645 | 4,192 |
| Gross Cost before contributions (Annual) | £147,352 | £125,087 |

Appendix Six



Dorset Framework for Care, Support, Housing and Community Safety

Provider Information Document – May 2021

1. Introduction

- 1.1 The information within this document applies to the whole Framework and contains over-arching requirements and principles that apply to all activities procured through the Framework. It should be read in conjunction with the Lot specific specifications, terms and conditions and relevant appendices.
- 1.2 Guidance including a glossary of terms and technical phrases will be available to support smaller providers and Voluntary, Community and Social Enterprise (VCSE) organisations.

2. Purpose of Framework

- 2.1 Building on previous Dorset Care Framework (2017-2022) and the Learning Disability Framework (2019-2024), the Commissioners are looking for organisations to join a ten year open Framework agreement to commence on the 1 November 2021.
- 2.2 Further competitions or direct awards will be utilised to procure services as detailed in the Lot specifications. It shall be possible to establish contractual arrangements that exceed the term of the Framework.
- 2.3 The purpose of the Framework is to:
- Facilitate the purchase of a range of services across care and support, housing and community safety, including the majority of Dorset Council's commissioning of adult social care services
 - Support access to the market for smaller organisations such as VCSE's and micro providers
 - Develop collaborative relationships with social care providers, VCSE organisations and micro providers to deliver new and innovative services that better meet the needs of people in Dorset – we want to be the partner of choice for providers
 - Stimulate the development and growth of the social care workforce and market
 - Implement a Framework which delivers flexibility and the opportunity to innovate
- 2.4 We are committed to provider relationships that are based on trust and equal partnership, that ensures quality, transparency, honesty and flexibility are at the centre of our shared working approaches.
- 2.5 The Framework will have statutory and mandatory entry criteria with the aim that is not burdensome for providers to navigate. We are committed to

supporting smaller VSCEs and micro providers to provide care and support solutions.

- 2.6 The Framework shall allow a variety of procurement methods in order to support flexible opportunities to expand Providers' geographical reach and to respond to the needs of people using services in receipt of Direct Payments or Individual Service Funds. These shall include but are not limited to:
- Brokering of individual packages for both long and short term care and support
 - Fixed term block contracts
 - Fixed term service delivery contracts
- 2.7 The Council Brokerage Service will work closely with providers, by doing so they will be aware of all vacancies which will ensure those on the Framework and individuals in need of care and support are matched quickly.

3. Background and Context

3.1 Our Commissioning Principles include:

- Using a co-production approach: sharing power, working together, ensuring everyone is involved
- Delivering great outcomes through strength-based commissioning
- Co-developing services that are flexible, adaptive and responsive to local needs
- Developing strong partnerships
- Facing our financial challenges by being ambitious and creative in the way we shape future services

3.2 Our challenges include:

- Increase in demand for services
- Reduced funding (the Council is able to take resources into account and will prioritise providers on the Framework)
- Capacity within the care workforce
- Rurality and access to support in rural areas
- Resources required for preventative approaches
- Varying deprivation indices across the county
- Raising the public profile of the importance of planning for future care needs
- Increasing use of technology
- Affordable, appropriate accommodation
- Raising the profile of informal carers

3.3 Strengths Based Approach

- 3.3.1 We recognise that strengths based approaches underpin the transformation required of the way we support people in Dorset. Within the last two years, Adults and Housing has made a substantial investment in embedding this approach via training programmes for all staff, and this has been extended to providers.
- 3.3.2 Building upon the intentions of the previous Frameworks, Commissioners will be working with Providers to understand where further work is required to ensure referrals and care and support plans can be represented in a strengths based approach.
- 3.4 Technology First
 - 3.4.1 We are developing a Technology First approach which allows innovative digital responses to be considered as a way of supporting independence at home as well as preventing people entering formal care.
- 3.5 Quality Assurance and Improvement
 - 3.5.1 Dorset Council is committed to ensuring good quality care and support is available for the people of Dorset. A system wide quality and performance monitoring approach is utilised to support providers and proactively resolve any quality issues, learning from scenarios where improvements are required.
 - 3.5.2 We recognise a proportionate approach will support a range of non-regulated services.
 - 3.5.3 Providers may be removed from the Framework due to quality and performance concerns, but will be supported to address these issues and given opportunities to re-join the Framework once any quality issues have been addressed.
 - 3.5.4 We will introduce a total quality management system to ensure there is a broad range of data to inform quality, this will include direct feedback from people who use services, families, carers and other stakeholders. Further details specific to Lots can be found in the Specifications.
- 3.6 Choice and Control
 - 3.6.1 We are committed to maximising choice and control for people using services. Direct Payments and Individual Service Funds support people to use their Personal Budget in a way that reflects their needs, outcomes, and preferences. To support this, it is important that people can access a range of local Care and Support services.

- 3.6.2 People wanting access to a service will be able to make an informed decision before accepting an offer and know about the quality and range of services and support available to meet their needs and aspirations.
- 3.6.3 Providers must be able to demonstrate an active and evidenced approach to Co- Production. People who use services must be central to any service changes and developments.
- 3.6.4 To make Co-production meaningful, communication aids and accessibility tools must be used ensure everyone is supported on an equal basis to strengthen outcomes.
- 3.6.5 There will be a commitment to empowering People who use services, building their confidence and supporting their independence.

3.7 Whole System Relationships

- 3.7.1 The Dorset Integrated Care System (ICS) 'Our Dorset' covers the footprint of Dorset, so includes both Dorset Council and Bournemouth, Christchurch and Poole Council. As part of delivering 'Our Dorset', our ICS are working together to sharpen up the deliverables and programme structure.
- 3.7.2 We recognise that continuing work is required to align our VCSE approach with that of Primary Care Networks and Social Prescribing, enabling all system partners to work collaboratively with communities.
- 3.7.3 We are developing our approach to Home First and will be working with health colleagues and partners to develop this learning from other areas on best practice.

4. **A Better Life Transformation Programme**

- 4.1 In 2020, the Adults and Housing Directorate embarked on the 'A Better Life' Transformation Programme. This moves our offer to a strength-based culture which enables people to make appropriate decisions about their support.
- 4.2 The priority is to deliver a model that is sustainable, affordable and ensures that we can meet the needs of Dorset residents now and in the future.
- 4.3 We will maximise peoples opportunities to access community and voluntary based resources. This will ensure people who use services will be empowered to engage in the wider community and to develop social networks if they wish. This is important to all groups of people who use services.
- 4.4 People who use services will be consulted on all proposals which affect their service, including day to day changes and their views will have pre-eminence.

A Better Life Programme Delivery



5. Our Commissioning Intentions



Commissioning
Intentions - Feb 21 pd

5.1 Older People, including Dementia, and People with a Physical Disability

5.1.1 Dorset has an ageing population, meaning that more people are going to need care and support to maintain independence at some time during their life.

5.1.2 Dorset Council is committed to the 'Home First' ethos, empowering people to retain independence at home for as long as possible, where it is practicable. We strive to improve the availability of preventative approaches will avoid, reduce or delay the need for care and support.

5.1.3 When care and support is needed it should be of high quality, and provided at the right time in the right place, allowing people to remain in their own communities for as long as possible.

5.1.4 We want to work with people, their families, and carers, to ensure they are properly informed about the options available to meet care and support needs later in life, to allow for proper planning. This may prevent people moving into residential care when, with appropriate support, they could remain in an independent home setting.

5.2 Learning Disabilities and Autism

5.2.1 People with Learning Disabilities are living longer and developing a range of complex health needs. For example, dementia, diabetes as well as experiencing older age frailty.

5.2.2 We aim to reduce the number of residential placements and develop an enhanced model of supported living, creating more innovative options for older people with learning disabilities eg Extra Care. Accommodation and care will need to change to ensure people can continue to build on their assets and live as independently for as long as possible.

5.2.3 We will actively work with our care and support providers to ensure we can achieve best value in what we commission. We also recognise that empowering providers to be innovative and flexible in delivering services will be key in achieving improved outcomes for people.

5.2.4 We need to work with families and get better at planning ahead and support people to develop the skills to live independently in the future.

5.3 Mental Health

5.3.1 There is a recognition that Dorset needs to develop more specialist support provision in both supported housing and outreach to help meet increased demand and reduce reliance on traditional domiciliary care and residential care settings. Where residential care is needed, this will be outcome focused with the aim that people will step down to more independent settings as soon as they are able.

5.3.2 Support will focus on recovery and empowerment, enabling people to build on their skills and confidence to live independently, fostering interests and aspirations. We want to work alongside families and carers to support them to continue in their caring role, and ensure family and friends are central to people's support networks.

5.4 Pathway to Adulthood

5.4.1 A joint approach across Children's Services, Adults and Housing Directorates is needed to transform our transitions pathway for young people aged 14 onwards to prepare for adulthood, maximizing their skills and opportunities for a more independent life.

5.4.2 This will be achieved by focusing on building on peoples strengths giving them the best chance to develop skills through step up/down services and where appropriate, We will ensure services are targeted to those at risk and those with longer term priority needs to ensure equity in terms of outcome and service experience.

5.4.3 Dorset requires more bespoke accommodation for young people, along with more opportunities for them to access education and employment, specialised care and support is sought after, especially for those with more complex and challenging needs.

5.4.4 Our ambition that young people are enabled to live in their own communities and have excellent life opportunities.

5.4.5 Dorset needs to provide more support to families who take an active caring role in their young person's life, such as developing the Short Breaks Strategy.

5.5 Carers

5.5.1 We will continue to focus on carers arrangements, our Carers Strategy will set out our plans for the future.

5.6 Better Days Programme

5.6.1 We will co-produce a 'Better Day' Strategy that gives people more choice and control over day activities in their local community.

5.6.2 We will support people of all ages to access community based resources that will enhance their quality of life including better transport systems and toilet and changing facilities.

5.6.3 We will support people to plan how they want to be supported and use flexible mechanisms to enable choices.

5.7 Community Inclusion and Prevention

5.7.1 The Care Act vision is of a care and support system that works to promote wellbeing and independence and does not wait until people reach a crisis point before responding. Consequently, the Council's responsibilities for prevention apply to all adults.

5.7.2 In addition to those people with eligible care needs, we continue to offer:

- preventative support services for those who may be at on the cusp of care or need short term support after leaving hospital or other long-term institutions
- support for unpaid carers and those who do not recognise themselves as such

- support services for people experiencing housing problems, including homelessness and hardship
- people escaping domestic violence and abuse.

5.7.3 We are actively working to develop strong prevention and carers strategies. Central to these developments is our relationships with Dorset's VCSE, strengthened by the outstanding work of the sector throughout the Covid-19 pandemic.

5.7.4 The VCSE and developing micro providers are recognised as being critical to strengthening both community and individual resilience. This in turn impacts on the need for more formal care, offering a more inclusive and personalised experience of provision closer to home.

5.7.5 We are actively working with our VCSE partner to co-produce the infrastructure to support more micros and greater opportunities to access voluntary services in very local communities.

6 The Lots of the Framework

Each lot has a detailed specification, and key performance indicators, that providers must read, in conjunction with the terms and conditions. It is possible to join more than one lot, and to move between lots, throughout the life of the Framework.

Providers will register on the Framework by meeting a minimum standards level by responding to standardised questions (examples at Appendix 1)

The table below outlines the Lots.

| Lot No | Lot Name | Launch Date | Indicative scope but not definitive and may be subject to change |
|--------|--|-------------|---|
| 1 | In my own home or settled accommodation (Working Age Adults) Launch 2021 | TBC | Includes people with a learning disability, mental health support need or a dual diagnosis. Covering all levels of care and support needs and aspects of support, breaks and respite. |
| 2 | In a care home setting (Working Age Adults) Launch 2021 | TBC | Includes people with a learning disability, mental health support need or a dual diagnosis. Care home settings with or without nursing care. |
| 3 | Innovative Community support (for all age adults) Launch 2021 | TBC | Includes day opportunities, and supported employment. Support for including carers and people that they care for. Can include providers who do not need to be CQC registered. |
| 4 | VCSE and Micro enterprises (for all ages, children 0-18 & adults) Launch 2021 | TBC | Voluntary, Community and social enterprise sector support opportunities for the non-regulated providers. |
| 5 | Promoting prevention (to be confirmed on timing) | TBC | Promoting positive outcomes for residents, using creative solutions. Such as services that improve individual and community resilience |
| 6 | Managing my Individual Support Fund or Direct Payment support. Launch 2021 | TBC | ISF Providers and support for Direct Payment recipients and Personal Assistant's – e.g. training, or payroll support |
| 7 | Housing related support (adults over 18) Launch 2021 / early 2022 | TBC | Includes support for adults who are homeless or at risk of homelessness and seeking accommodation, including rough sleepers Support in the community and in supported accommodation e.g. hostels. Generic housing advice and guidance including people experiencing multiple exclusions |
| 8 | Community safety Launch 2021 / early 2022 | TBC | Includes a range of services aimed at tackling community safety issues including those related to domestic abuse |
| 9 | Living well – in my own home (children aged 0 – 18) Launch 2021 / early 2022 | TBC | Includes children with a physical disability, a learning disability, mental health support need or a dual diagnosis. Covering all aspects of support, breaks and respite |
| 10 | In my own home (adults over 65) Launch 2022 | TBC | Includes all aspects of support delivered in a resident's home including regulated Home Care services. |
| 11 | In a care home setting (adults over 65) Launch 2022 (potential to bring this into 2021) | TBC | Includes care home settings with or without nursing care and specialist e.g. dementia care, mental health |
| 12 | Carers Launch 2021 early 2022 (date may change to dovetail with lot 3) | TBC | Includes carers services which are not included in lot 3 |

| | | | |
|----|--|-----|---|
| 13 | Accommodation housing management Launch to be confirmed | TBC | Includes landlord services required for housing schemes |
|----|--|-----|---|

7 Framework Selection Criteria

- 7.1 There are Minimum Entry Requirements for Providers to join the Framework, a sample of standardised questions can be found at Appendix 1.
- 7.2 Each Lot of the Framework will have a succinct and specific selection criterion based upon mandatory requirements, such as regulatory compliance and standards, insurance levels, experience, local requirements etc. The terms and conditions of the Framework should be read in conjunction with this document.
- 7.3 Each specification will state relevant mandatory Quality and Performance Standards – some will be in line with relevant registration and regulation requirements, some may be in line with the Councils own Quality Assurance Process. Expectations shall be clearly defined in the Lot Specification.
- 7.4 There are key legislative requirements governing the Framework, that include, but not limited to that will be detailed in the Framework Terms and Conditions:
- Data and intelligence / General Data Protection Regulation
 - Business Continuity
 - Subcontracting
 - Safeguarding
 - Mental Capacity Act 2005 and Deprivation of Liberty Safeguards
 - Equality Act 2010
 - Confidentiality
 - Legal Proceedings
- 7.5 In addition, as detailed in the terms and conditions or specification, as appropriate, Providers will be expected to demonstrate compliance and commitment to specific areas relevant to the Lot being applied for, this will include but not limited to:

- **Social Value and Social Enterprise** - Providers will demonstrate how the service will meet objectives set out within the Social Value Act 2012 and benefit the wider local community
<https://www.gov.uk/government/publications/social-value-act-information-and-resources/social-value-act-information-and-resources>
- **Workforce Development** – including robust Training and Development Policy, Process and Plans, including any mandatory training relevant to Lot and also professional and personal development of the workforce
- **Financial management** within set budget and funding envelopes, and **information reporting that provides** effective self monitoring of the organisation's performance
- **Sustainable Service Delivery** – evidence of realistic understanding of all resources required to deliver the proposed service model
- **Person centred / Strengths based working** – understanding and evidence of approaches embedded in workforce practice

7.6 For any advice, guidance and support please contact the Adults Commissioning Helpdesk –
adultscommissioningteam@dorsetcouncil.gov.uk

Appendix 1 – Framework Entry Criteria: sample of standardised questions

| Title |
|--|
| Part 1: Potential supplier Information |
| Supplier Information |
| 1.1(a) Full name of the potential supplier submitting the information |
| 1.1(b) - (i) Registered Office Address (if applicable) |
| 1.1(b) - (ii) Registered website address (if applicable) |
| 1.1(c) Trading Status |
| 1.1(d) Date of registration in country of origin |
| 1.1(e) Company registration number (if applicable) |
| 1.1(f) Charity registration number (if applicable) |
| 1.1(g) Head office DUNS number (if applicable) |
| 1.1(h) Registered VAT number |
| 1.1(i) - (i) If applicable, is your organisation registered with the appropriate professional or trade register(s) in the member state where it is established? |
| 1.1(i) - (ii) If you responded yes to 1.1(i) - (i), please provide the relevant details, including the registration number(s). |
| 1.1(j) - (i) Is it a legal requirement in the state where you are established for you to possess a particular authorisation, or be a member of a particular organisation in order to provide the services specified in this procurement? |
| 1.1(j) - (ii) If you responded yes to 1.1(j) - (i), please provide additional details of what is required and confirmation that you have complied with this. |
| 1.1(k) Trading name(s) that will be used if successful in this procurement |
| 1.1(l) Relevant classifications (state whether you fall within one of these, and if so which one) |
| 1.1(m) Are you a Small, Medium or Micro Enterprise (SME)? |
| 1.1(n) Details of Persons of Significant Control (PSC), where appropriate: Name; Date of Birth; Nationality; Country, state or part of the UK where the PSC usually lives; Service address; The date he or she became a PSC in relation to the company (for existing companies the 6 April 2016 should be used); Which conditions for being a PSC are met. Over 25% up to (and including) 50%; More than 50% and less than 75%, 75% or more. (Please enter N/A if not applicable) |
| 1.1(o) Details of immediate parent company |
| 1.1(p) Details of ultimate parent company |
| Bidding Model |
| 1.2(a) - (i) Are you bidding as the lead contact for a group of economic operators? |
| 1.2(a) - (ii) Name of group of economic operators (if applicable) |
| 1.2(a) - (iii) Proposed legal structure if the group of economic operators intends to form a named single legal entity prior to signing a contract, if awarded. If you do not propose to form a single legal entity, please explain the legal structure. |

1.2(b) - (i) Are you or, if applicable, the group of economic operators proposing to use sub-contractors?

1.2(b) - (ii) If you responded yes to 1.2(b)-(i) please provide additional details for each sub-contractor in the attached table

Contact details and declaration

1.3 Contact details and declaration

Part 2: Exclusion Grounds

Grounds for Mandatory Exclusion

2.1(a) Please indicate if, within the past five years you, your organisation or any other person who has powers of representation, decision or control in the organisation been convicted anywhere in the world of any of the offences as checkboxes or listed on the website below

2.1(b) If you have answered yes to question 2.1(a), please provide further details. Date of conviction specify which of the grounds listed the conviction was for, and the reasons for conviction, Identity of who has been convicted. If the relevant documentation is available electronically please provide the web address, issuing authority, precise reference of the documents. If state if not applicable.

2.2 If you have answered Yes to any of the points in 2.1(a) have measures been taken to demonstrate the reliability of the organisation despite the existence of a relevant ground for exclusion? (Self-Cleaning)

2.3(a) Has it been established, for your organisation by a judicial or administrative decision having final and binding effect in accordance with the legal provisions of any part of the United Kingdom or the legal provisions of the country in which the organisation is established (if outside the UK), that the organisation is in breach of obligations related to the payment of tax or social security contributions?

2.3(b) If you have answered yes to question 2.3(a), please provide further details. Please also confirm you have paid or have entered into a binding arrangement with a view to paying, the outstanding sum including where applicable any accrued interest and/or fines.

Grounds for Discretionary Exclusion

3.1 Please indicate if, within the past three years, anywhere in the world any of the following situations have applied to you, your organisation or any other person who has powers of representation, decision or control in the organisation

3.2 If you have answered Yes to any of the points in 3.1 have measures been taken to demonstrate the reliability of the organisation despite the existence of a relevant ground for exclusion? (Self-Cleaning)
